

Journal of Applied and Natural Science

13(3), 1072 - 1082 (2021)

ISSN: 0974-9411 (Print), 2231-5209 (Online)

journals.ansfoundation.org

Research Article

Performance of imputation-based models in predicting breeding population trend of a near-threatened bird in changing water regime: A 36-year long-term case study of Painted Stork, *Mycteria leucocephala*

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Article Info

https://doi.org/10.31018/ jans.v13i3.2876

Received: July 23, 2021 Revised: September 7, 2021 Accepted: September 10, 2021

How to Cite

Dwevedi, R. *et al.* (2021). Performance of imputation-based models in predicting breeding population trend of a near-threatened bird in changing water regime: A 36-year long-term case study of Painted Stork, *Mycteria leucocephala. Journal of Applied and Natural Science*, 13(3), 1072 - 1082. https://doi.org/10.31018/jans.v13i3.2876

Abstract

The breeding population of birds are dynamic and are affected by multiple factors including climate and local environmental conditions. However, often to understand such relations requires long-term data modelling. Such long-term population data is either lacking or has data gaps. This study demonstrates the use of Multiple Imputation Chained Equation (MICE) to overcome the problem of missing data population census. This is also the first comprehensive study, modelling the 36-year (1980-2015) long-term breeding population data of a near-threatened bird, Painted Stork, from Keoladeo National Park, India. It tests the effect of local water availability, i.e., water released to the park, and regional rainfall, i.e, climatic condition, on the breeding population using Generalised Additive Model (GAM). Both imputation and observed data series-based GAM models identified the local water availability as the most important factor influencing the breeding population of Painted Stork. More than 80% population decline was observed, despite a slight increase in the rainfall at regional scale, suggesting local hydrological conditions are limiting to the breeding population and not the climate. According to the visual assessment of partial plot of GAM, minimum 200-300 million cubic feet of water is needed each nesting season to ensure sustenance of breeding population. Post-1989, the breeding population was unable to match the long-term mean (~726) except in 1992, 1995, and 1996. The maximum decline was observed between 2000-2009, a decade of frequent droughts. The breeding population was stable until the end of this study, but it was far below the long term mean.

Keywords: Generalised additive model, Multiple imputation Chained equation, Painted stork, Population trend

INTRODUCTION

Long-term studies play an important role in understanding many ecological questions ranging from behavioural to community ecology (Franklin, 1989; Frederick and Meyer, 2008; Janzen and Ellert, 2017; Kampichler et al., 2014; Reinke et al., 2019). Long-term studies enable us to understand cyclic patterns in a given population otherwise impossible in short-term studies (Franklin, 1989; Karell et al., 2009). Modelling

long-term ecosystem dynamics is useful in managing and conserving ecosystems (Ma et al., 2004; Shipley et al., 2020; Taft et al., 2002; Weimerskirch 2018; Wen et al., 2011). Several researchers have modeled various aspects of ecosystems concerning bird ecology using long-term studies. These aspects include wetland productivity, food availability, land use, habitat preferences, climate, hydrological trends, population dynamics and conservation (Bowler et al., 2019; Briggs et al., 1997; Dean & Milton, 2001; Fewster et al.,

2000; Frederick and Ogden, 2001; Karell *et al.*, 2009; Knape *et al.*, 2016; Maxwell *et al.*, 2019; Messerendino *et al.*, 2011; Reid *et al.*, 2013; Reinke *et al.*, 2019; Weimerskirch, 2018; Wen *et al.*, 2011). However, the availability of suitable data requires well designed systematic monitoring, skill-based unbiased and continuous observations (Lindenmayer and Likens 2009; Russell *et al.*, 2002).

One of the challenges in a long-term study is missing count creating uncertainty in ecological models (Atkinson et al., 2006; Franklin, 1989; Lindenmayer & Likens, 2009; Russell et al., 2002). Many studies have suggested different statistical models, such as kNN; MICE, to overcome missing data problems (Nakagawa and Freckleton, 2008; Penone et al., 2014). Some of these models were also developed on bird populations (Penone et al., 2014). These have been used on terrestrial as well as water birds, including waterfowls and waders. Most of the long-term studies have been conducted on North American and European birds, as a systematic census of birds is available from these regions (Baker et al., 2019; Freeman et al., 2007, Kamp et al., 2021; Sauer et al., 2017; Shipley et al., 2020; Weimerskirch 2018).

Painted Stork breeding population is well monitored at KNP and hence can be used to model the long-term temporal trend and effect of water availability. It is a large wader and is listed under the near-threatened category of IUCN (Birdlife International, 2019). Being a top predator in a wetland, it can also be used as a good indicator of ecosystem health (Frederick et al., 2009; Sergio et al., 2008). However, despite the availability of information and statistical tools, no long-term based ecological models are available to predict the long-term impacts on the breeding population. This study is the first effort to compile long-term breeding data of a large colonial bird from a wetland ecosystem in India. Authors use 36-year (1980 -2015) long-term data to develop a statistical model on the breeding population of Painted Stork in Keoladeo National Park (KNP). In addition, regional rainfall was taken as a surrogate of climate in the study. Such a longterm-based statistical model will be useful in identifying the effect of climatic and hydrological patterns.

The objective of this study was to first identify the trend in the breeding population of Painted Stork and water availability in KNP, second to identify, compare and assess the performance of a suitable imputation based statistical model to predict the breeding population; and the third to model the effect of climate water availability on the breeding population of Painted Stork.

MATERIALS AND METHODS

Study area

Keoladeo National Park (KNP) is a 29 km² area situated on the edge of the Gangetic basin at the old conflu-

ence of Rivers *Gambhir* and *Banganga* in district Bharatpur, Rajasthan, India. (Fig. 1). KNP supports a large diversity of fauna and flora, and has a unique mosaic of habitats that includes wetlands, woodlands, scrub forests and grasslands (Vijayan, 1987). With the beginning of monsoon, large number of colonial birds starts to aggregate in KNP. Congregation and nesting start from June-July and continue to stay till February-March in the park. These birds either forage locally or fly out to different wetlands outside the park. Several wetlands in the vicinity have been identified where colonial birds have been found to be foraging (Vijayan, 1991). Ecology of KNP is dependent on monsoonal rainfall and water received through the Ajan dam located south of the park(Ali 1953; Ali and Vijayan, 1983).

Data collection and analysis Breeding population (Nest count)

Breeding population data was extracted from secondary data, i.e., published reports and park management. The data source included a decade-long (1980-1990) study of Keoladeo National Park by BNHS (Ali and Vijayan, 1983; Sankhala 1990; Vijayan, 1987; Vijayan, 1991). After 1990, KNP management started a heronry monitoring program (1991–till date). Above monitoring and research data includes nest count of 15 heronry species, including Painted Stork. Data from the above sources were compiled, and a series of nest counts were prepared from 1980 to 2015. There were few missing data (25%) in the nest count either because of nesting/breeding failure.

Water availability

Water released from Ajan Dam and local rainfall (rainfall observed at Ajan rainfall station) were correlated. Hence the volume of water released in the nesting year from various water dams/canals was designated as water availability in the nesting year (WR). In few years (2002, 2006, 2007, and 2009), it was observed that no water was released from Ajan Dam to the park, hence some zero values are present in the data. To remove zero value, data was transformed by adding a one-unit value to each data point (NYWR = WR+1). Water availability was represented at two temporal scales: the nesting year water availability and water availability five years before the nesting year. Two variables were derived from this information, one nesting year water availability (NYWR) and mean water availability in the five years before nesting (MPYWR5). NYWR represented immediate habitat quality and MPYWR5 long-term habitat quality. WR data was obtained from the park management and rainfall data was downloaded from the Water Resource Department of Rajasthan Government website (Water Resource Department Rajasthan, 2019).

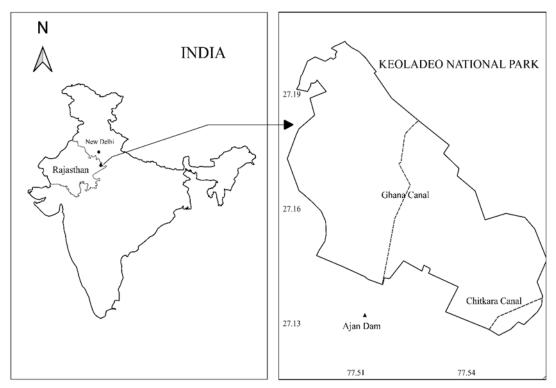


Fig. 1. Map showing location of Keoladeo National Park in India

Keoladeo is located in the Bharatpur district of eastern Rajasthan and hence rainfall received in the Eastern Rajasthan region was also used to represent regional water availability (RFR). This parameter was taken as a surrogate of habitat quality at a large spatial scale. Rainfall data was downloaded from the website of the Water Resource Department, Government of Rajasthan, India (Water Resource Department Rajasthan, 2019).

Statistical analysis

Trend identification of water availability and breeding population

All parameters, nesting year water availability (NYWR), mean water availability five years before nesting (MPYWR5), regional rainfall (RFR) and nest count were tested for long-term trend using Modified-Kendall's (MK) test (Hamed and Rao 1998). MK test is not affected by autocorrelation in the data hence used in the trend detection. Trend detection requires continuous data which was a limitation in this study. This limitation was overcome by generating imputed series of nest counts. Multiple Imputation Chained Equation (MICE) was used to generate five imputed series (imp1, imp2, imp3, imp4, and imp5) of nest count (Groothuis-Oudshoorn and Van Buuren, 2011, Penone et al., 2014). Hence, two nest count series were tested for further analysis, 1) Imputed nest count series, with no missing values and 2) Observed nest counts, with missing values removed from the data.

Data assessment and pre-modelling considerations

A generalized additive model (GAM) was used to model the breeding population using GAMLSS package available in programme R (Stasinopoulos and Rigby, 2007). Nest count data had several missing values with non-normal distribution (Shapiro-Wilk Test, W = 0.91198, p value = 0.03374). Therefore other GAM distribution functions were tested for best fit. GAM can be used with non-normal distribution functions for population (count) data, giving more flexibility in data fitting (Lindén and Mäntyniemi, 2011; Stasinopoulos and Rigby, 2007; Wen et al., 2011). It is suitable to use negative binomial distribution for bird count data (Fewster et al., 2000; Knape, 2016; Miserendino et al., 2011; Ramo et al., 2013; Wen et al., 2011). Therefore nest count data was tested only for negative binomial distributions (BNB, NBI, NBII, ZIBNB, NBF, ZINBF, ZINBI, and ZANBI) available in GAMLSS package (see Stasinopoulos and Rigby, 2007 for more details). The best distribution function was selected using the Generalized Akaike Information Criterion (GAIC, smaller values show a better fit) (Akaike, 1974).

Model consideration and assessment

It was hypothesized that the breeding population of Painted Stork (Nest Count) is a function of the present (NYWR), long-term (MPYWR5) and regional (RFR) water availability. So, the following model was tested for its validity and performance,

Nest Count ~ Year + NYWR + MPYWR5+RFREq.1

Year (time) was included to test the effect of any other temporal factors on the breeding population. GAM models were run in the program R, which included all possible predictor variables as mentioned in the abovehypothesized model. Final predictor variables were shorted using the stepGAIC() function in the GAMLSS package. This method excludes a variable with the highest p-value based on Chi-square statistics. Further other alternate models are assessed and compared using GAIC values. Model suitability was tested using Worm plots. Worm plot, a de-trended Quantile-quantile (Q-Q) plot, allows visual assessment of model fit to the data. Pseudo R Squared value (Generalized R Squared) was used to find the selected model's goodness of fit. Pseudo R square is a method to calculate deviance justified by the model. The above model was applied to both imputed nest count and observed nest count series to identify the effect of missing values on model performance.

RESULTS

Trend identification of water availability and breeding population

Water released in the nesting year (NYWR) showed large variation (Mean = 320.7 mcf, SD= 203.03) with insignificant negative trend (Corrected Zc=-1.02, Tau= -0.12, Sen's slope= -3.0, New p-value= 0.31). Water availability in the five years prior to nesting (MPYWR5) showed significant negative trend over 36-years (Corrected Zc=-2.7, Tau= -0.34, Sen's slope= -4.97, New p-value= 0.007). A small increase was detected in regional rainfall (RFR) (Corrected Zc= 1.33, Tau= 0.21, Sen's slope= 3.28, New p-value= 0.08). Breeding population showed a significant negative trend (Corrected Zc=-3.16, Tau= -0.49, Sen's slope= -56.18, New pvalue= 0.002) over 36-years (1980 - 2015). Above results were obtained using observed nest count series, similar negative trends were observed with the imputed series of nest count in the trend detection (Table 1).

Pre-modelling distribution fit assessment and GAM model performance

All tested negative binomial distributions performed well

for nest count distribution fit (see Fig. 2) except for ZIBNB, for which algorithms did not converge. Negative binomial I and II distribution function were found to be the most appropriate to model the data sets (see Table 2). Performance of NBI and NB II were assessed in the final GAM model.

GAIC values obtained from stepwise GAMLSS are summarized in Table 3. NB II model performed better than the NB I GAM model (see worm plot in Fig. 3). Visualization of worm plot clearly shows the few values are outside the confidence range of the tested model for NBI. NB II performed better, hence results obtained from it were used to interpret the model outcome. NBII based GAM model adequately explained the variance of the nest count (Pseudo R Square = 0.63). Time and water released in the nesting year (NYWR) were selected as the predictor of the nest count in the final model. Water availability prior to nesting year (MPYWR5) and regional rainfall (RFR) were excluded from the final model. Imputed series -based model also performed similarly (Table 4 and Fig. 4). Imputation-based models could explain 43% to 63% variability in the nest count (Table 3).

Effect of predictor variables on the breeding population of Painted Stork

The breeding population has significantly declined in the past 36 years (Estimates= -0.04, Fig. 5A). Data fitted well except for one year (1986) when an extremely low number of nests were recorded. Maximum reduction in the nest count was observed between 2000-2009 (45%) from the base decade's mean population (Table 5). Periodic observation of mean nest count shows >80% decline in the breeding population compared to the base decade, i.e., 1980-1989 (Table 5). Local water availability (NYWR) has a positive effect on nest count (Estimates = 0.00213). Nest count has a loglinear relationship with local water availability (Fig. 5B). Nest Count is higher when local water availability (NYWR) is between 400-600 million cubic feet (Fig. 5B). It is observed that in few years, despite no rainfall deficit, KNP received less than the long-term mean of water released (NYWRMean = 320 million cubic feet) (Fig. 6). The breeding population was negatively affected by time (year) (Table 3), confirming a negative tem-

Table 1. Summary of Mann-Kendall's trend test with imputed series of nest count

Variables/ Parameters	Corrected Zc	Tau	Sen's slope	New Variance	New p- value
Imp 1	-4.91E+00	-5.65E-01	-2.91E+01	5.82E+03	8.92E-07
Imp 2	-4.01E+00	-4.61E-01	-2.61E+01	5.82E+03	6.02E-05
Imp 3	-4.65E+00	-4.83E-01	-2.93E+01	4.77E+03	3.36E-06
Imp 4	-4.85E+00	-5.57E-01	-3.21E+01	5.83E+03	1.26E-06
Imp 5	-3.86E+00	-4.44E-01	-2.78E+01	5.83E+03	1.12E-04

Table 2. Summary of the tested GAM distribution function

Distribution	Mu (SE)	Sigma (SE)	Nu (SE)	Tau (SE)	GAIC	
BNB	6.602583 (0.0001367)	-2.797e+01 (5.184e-04)	-2.642e-01 (6.098e-06)	-	384.8499	
NBI	6.593 (0.178)	-0.2352 (0.2560)	-	-	382.9027	
NBII	6.5918 (0.1778)	6.3562 (0.3114)	-	-	382.9028	
NBF	6.593 (0.178)	-0.2356 (13.9878)	0.6932 (1.0609)	-	384.9027	
ZINBF	6.593 (0.205)	-0.2356 (0.2203)	0.69318 (0.01672)	-36.04 20000.00	386.9027	
ZIBNB	Algorithm did not converge					
ZINBI	6.5932 (0.2049)	-0.2352 (0.2202)	-36.04 (20000.00)	-	384.9027	
ZANBI	6.5928 (0.1783)	-0.2316 (0.2592)	-12.61 (109.52)	-	384.8866	

Table 3. Performance of selected GAM model

Distribution	Parameters	Estimates	Standard Error/ Total Variance*	t value	p value	AIC	Pseudo R Square
NB II	(Intercept)	92.0829055	18.2442744	5.047	4.70E-05		
	Year	-0.0435887	0.0091491	-4.764	9.34E-05	362.07	0.63
	NYWR	0.0031346	0.0008044	3.897	0.000775		
NBI	(Intercept)	1.09E+02	3.59E+00	30.438	<2e-16		
	Year	-5.20E-02	1.79E-03	-29.032	<2e-16	368.22	0.53
	NYWR	2.13E-03	9.33E-04	2.283	0.0329		

poral trend as observed in MK-Kendall's test.

DISCUSSION

Water availability and breeding population of Painted Stork

Water availability has declined significantly in the KNP, and the expectedly breeding population of Painted Stork has also declined in the past 36-years. The maximum population decline was observed between 2000-2009, a decade of frequent droughts. However, the sharp decline in the breeding population started immediately after the severe drought of 1987, after 1987 it never matched the historical levels (Mean1980-1989 = 1061, Fig. 7). Post-1998, there have been frequent droughts in the district of Bharatpur, hence limited water supply to KNP.

The volume of water released to the park explains the food abundance (fish) in the KNP (Vijayan, 1991), and hence it is expected to limit the breeding population of Painted Stork. The relationship between water availability and the breeding population is log-linear and not

linear (Fig. 5B). It can be attributed to the reduction in prey density with an increase in the volume of water; hence too much volume could indicate poor habitat for breeding of Painted Stork (Chastant and Gawlik, 2018). Also, the Painted Stork is a tactile forager, and it is dependent on physical contact for capturing the prey than visual senses; hence the volume of water can be detrimental to foraging efficiency (Urfi, 2011). Gawlik (2002) manipulated the depth of water and found that the waders are more successful in capturing the prey in shallower water than deeper, indicating the significance of water volume. Shallow water is a better habitat than deeper water for waders such as Painted Stork. An optimum volume of water is required to ensure the availability of prey, and if the volume becomes high, it decreases the effective density of the prey and hence reduces prey availability.

A significant relation of the breeding population was expected with water availability before the nesting year (MPYWR5) as observed in few studies (Reid *et al.*, 2013, Wen *et al.*, 2011). Though mean five-year water availability before nesting season (MPYWR5) was not

Table 4. Performance of imputed nest count series based GAM models

Data	Parameters	Estimates	Standard Error/ Total Variance*	t value	P value	Pseudo R Square
Imputed 1	(Intercept)	1.15E+02	1.47E+01	7.827	0.000	
•	Year	-5.47E-02	7.35E-03	-7.443	0.000	0.63
	NYWR	1.56E-03	4.11E-04	3.793	0.000	
Imputed 2	(Intercept)	1.26E+02	1.63E+01	7.759	0.000	_
•	Year	-6.04E-02	8.13E-03	-7.425	0.000	0.63
	NYWR	1.68E-03	4.35E-04	3.867	0.000	
Imputed 3	(Intercept)	93.6973967	19.6312925	4.773	0.000	
	Year	-0.0439721	0.0098215	-4.477	0.000	0.43
	NYWR	0.0015847	0.0005664	2.798	0.000	
Imputed 4	(Intercept)	1.37E+02	1.55E+01	8.825	0.000	
•	Year	-6.56E-02	7.75E-03	-8.467	0.000	0.65
	NYWR	1.58E-03	4.32E-04	3.662	0.000	
Imputed 5	(Intercept)	99.4452923	16.1885062	6.143	0.000	_
	Year	-0.0470955	0.0081015	-5.813	0.000	0.59
	NYWR	0.0024047	0.0004881	4.927	0.000	
Pooled Estimates of Imputed Data	Intercept	114.2285	660.3739		0.000	
	Year	-0.05435952	0.000165594		0.000	
	NYWR	0.00176288	3.78E-07		0.000	

found significant in this study, it may be affecting the habitat quality in the long term. Frederick and Ogden (2001) showed infrequent drought (poor water availability) could positively impact the breeding population of colonial birds. Infrequent droughts allow nutrition enrichment of wetlands and hence more macroinvertebrates productivity (Grutreuter et al., 1999). An observation of breeding population Painted Stork, between 1980-1989, show presence of infrequent droughts rather continuous and hence more fluctuation in nest count during this period. However, post-1997, there have been frequent droughts and less water was released to the park, resulting in the continuous degradation of habitat. Authors are of the view that these frequent droughts resulted in poor habitat quality and prey availability. This limited the breeding population, even when some water was released to the park. Regional rainfall has increased slightly (Fig. 8); therefore, the authors expected an increase in the breeding population of Painted Stork. Nager et al. (2010) observed that the breeding population of Flamingos and Little Egret were dependent not only on the local wetlands but also on the network of wetlands at a regional scale, suggesting the importance of regional rainfall. However, in this study breeding population of Painted did not show a significant link with regional rainfall.

Application of GAM and MICE model

The negative binomial II GAMLSS model was found to be the best to model the Painted Stork breeding population expectedly. Though the tested model explained a large variability in the breeding population, exploration of other factors is essential to explain it completely. Unexplained variability in the breeding population could be because of factors that are not tested in the model, such as human disturbance, prey diversity, intra/interspecific competition, nest substrate quality, and sex-ratio (Brooks and Dean, 2008, Urfi, 2011, Konovolav et al., 2019).

Many studies have obtained similar results with other waterbirds as well (Fewster et al., 2000, Wen et al., 2011, Reid et al., 2013). Wen et al., 2011 and Reid et al., 2013 found a negative binomial GAM model explaining the waterbird abundance and assemblage in relation to climate and hydrology of the Murray Basin in Australia. Fewster et al., 2000 applied GAM models to identify the population trend of 13 farmland species of Britain. Most studies suggest that both negative binomial I and II are suitable in describing population models (Lindén and Mäntyniemi 2011). However, in our study negative binomial, I GAMLSS model performed poorly (Fig. 3A).

This study successfully demonstrates the utility of

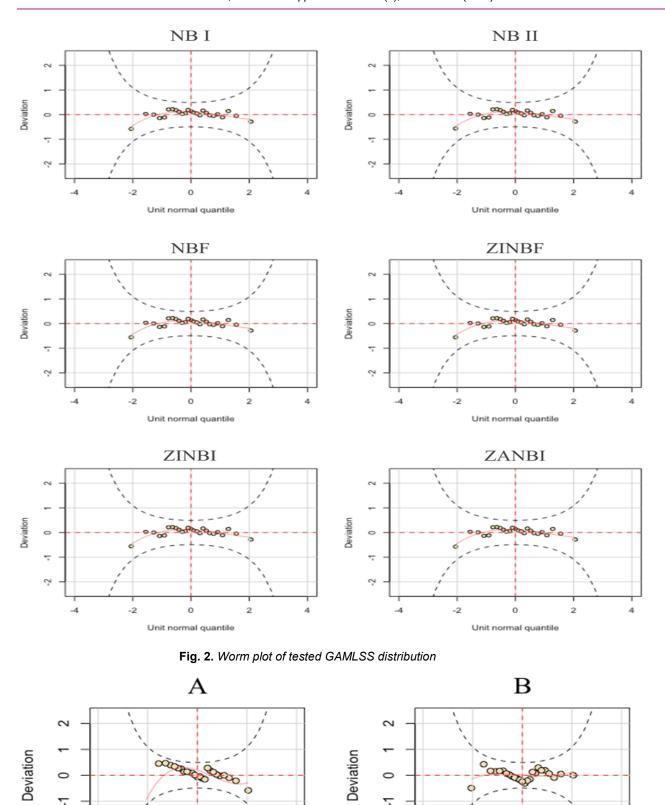


Fig. 3. Worm plot comparison of selected A) NBI and B) NB II models

-4

-2

0

Unit normal quantile

2

-4

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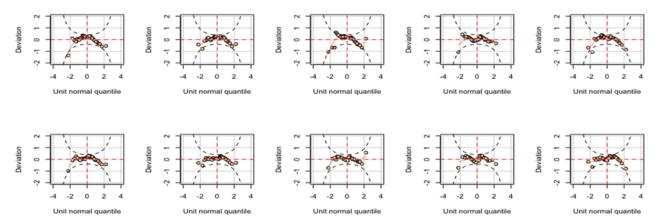


Fig. 4. Worm plot of imputation data based GAM models, First row: NBI and Second row: NBII (Imputed data sets imp1, imp2, imp 3, imp4 and imp5 are arranged from right to left)

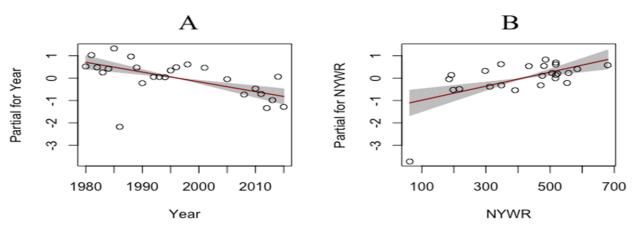


Fig. 5. Partial regression plot of A) 'Year' (Time) and B) 'NYWR' (Water released in the park during nesting) for fitted GAM model.

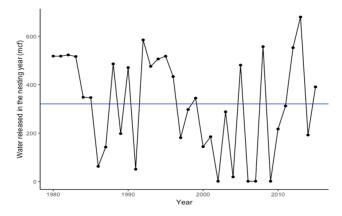


Fig. 6. Annual variation in volume of water released in the nesting season (NYWR), solid blue line show the long-term mean (320.1 mcf).

MICE imputation in modelling the breeding population. Both, imputed nest count series (no missing values) and real nest count series (with missing data) performed well and can be used for modelling. Poor performance of real data was expected because it had few missing values; however, model fit of both imputed and real data proves otherwise (compare NBI and NBII

worm plots in Fig. 3 and Fig. 4). However, inconsistent nest count series is not suitable in interpreting temporal patterns, as it eliminates missing value, resulting in loss of information and a biased interpretation (Nakagawa and Freckeleton 2008, Atkinson *et al.*, 2006). Therefore, it is best to use imputation-based models to predict the population pattern when data is missing from a data series.

This study is an important step in finding the effects of long-term phenomenon such as rainfall patterns and climate change on the breeding population. This study becomes even more significant because of limited evidence from the Indian subcontinent. It will help in acquiring more knowledge on modeling the breeding population of other waterbird species and provide valuable information for the conservation of the species and their habitat.

Conclusion

The Generalised Additive Model (GAM) and Multiple Imputation Chained Equation (MICE) model performed well in modelling long-term Painted Stork breeding pop-

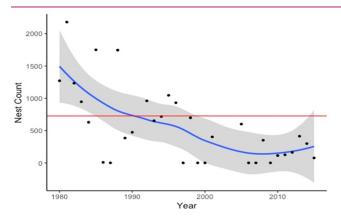


Fig. 7. Annual variation in breeding population of Painted Stork. (Blue solid line shows moving average and red line indicates long-term mean* value of nest count)* Calculation of long-term mean of nest count did not include years of breeding failure.

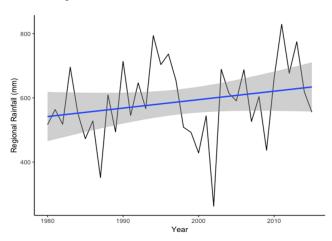


Fig. 8. Annual variation in regional rainfall (mm)

ulation. Negative binomial II was preferred over other binomial distributions to model the breeding population variation. MICE base models are suggested to be used if missing data is present in the population count. Local water availability, i.e., water released to the park each nesting season, is the most important factor explaining the variability in the breeding population. It is observed that frequent disruption in water release has led to the large population decline indicating poor habitat. Therefore, there is an urgent need to restore continuous water release in each nesting season to ensure the revival of Painted Stork breeding population to historical levels. Though water availability explains the large variability of Painted Stork breeding population, other factors, such as human activity, pollution; also needs consideration to further explain the variability in the breeding population. In the authors' view that a long-term systematic, landscape-level and institutional monitoring of breeding population is needed for better understanding and conservation initiative of Painted Stork, and in general, breeding birds at Keoladeo National Park.

ACKNOWLEDGEMENTS

Authors are thankful to the BNHS and WWF-India, allowing access to their library for the collection of the reports. Authors acknowledge the support of Director, KNP and Forest department, Government of Rajastahn for allowing access to monitoring data. RD received international travel grant (TG/9788/17-HRD) from CSIR, New Delhi India to present a brief of this paper in the International Conference: Models in Population Dynamics, Ecology and Evolution, 11-19 April 2018 at University of Leicester, UK.

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